

01/18/02  
JC882 U.S. PTO

1-23-02

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PATENT

ATTORNEY DOCKET NO. ALBA.001.01US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

JC883 U.S. PTO  
10/053313  
01/18/02

In re application of:  
Alex Battaglia, *et al*

) Examiner: Not Yet Assigned

)

) Art Unit: Not Yet Assigned

Serial No.: Not Yet Assigned

)

) TRANSMITTAL

Filed: January 18, 2002

)

) NEW NONPROVISIONAL  
) PATENT APPLICATION

For: Gum Resin as a Carrier for Topical  
Application of Pharmacologically Active  
Agents

)

EL 923476835US

**BOX PATENT APPLICATION**  
Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

1. Transmitted herewith for filing is a utility patent application.
  - a. Inventor: Alex Battaglia
  - b. Title of application: **Gum Resin as a Carrier for Topical Application of Pharmacologically Active Agents.**
  - c. Attorney docket number: ALBA.001.01US
2. Also enclosed are:

CERTIFICATE OF EXPRESS MAILING

"Express Mail" Label No.: EL 923476835 US

Date of Deposit: January 18, 2002

I hereby certify under 37 C.F.R. 1.10 that this correspondence is being deposited with the United States Postal Service as "Express Mail Post Office to Addressee" with sufficient postage on the date indicated above and is addressed to the U.S. Patent and Trademark Office, PO Box 2327, Arlington, VA 22203.

(Signature) *D.H. Walker*

(Printed Name) D. H. WALKER

2005 FEB 04 09 2002

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- ☐ \_\_\_ sheets of ☐ informal ☐ formal drawings(s).
- ☒ An ☐ executed ☒ unexecuted
- ☒ Declaration and Power of Attorney.
- ☐ Inventors' Declaration.
- ☐ Power of Attorney by Assignee.
- ☐ An Assignment of the invention to and an Assignment Recordation cover sheet.
- ☒ Applicant claims small entity status under 37 C.F.R. §1.27.
- ☐ Certified copy(ies) of \_\_\_ application number(s) \_\_\_ filed \_\_\_.
- ☐ An Information Disclosure Statement.
- ☐ A Preliminary Amendment.
- ☐ A sequence listing.
- ☐ Paper copy.
- ☐ Computer readable copy.
- ☐ Statement in Compliance with Requirements for Patent Applications  
Containing Nucleotide and/or Amino Acid Sequence.
- ☐ A Petition for Expedited Foreign Filing License.
- ☐ A Declaration of Availability under MPEP 608.01(p)C.
- ☐ An Associate Power of Attorney.
- ☒ Nonpublication Request under 35 U.S.C. 122(b)(2)(B)(i). Form PTO/SB/35 or  
its equivalent is attached.
- ☒ Return postcard (postage prepaid).

3. This application is a:

- ☐ Continuation of prior application No.
- ☐ Divisional of prior application No.
- ☐ Continuation-in-part (CIP) of prior application No.
- ☒ Claims benefit of priority to Provisional Application No. 60/299,377

## PATENT

ATTORNEY DOCKET NO. ALBA.001.01US

4. The filing fee has been calculated as shown below:

FOR:	NO. FILED	NO. EXTRA	<u>SMALL ENTITY</u>		<u>OTHER THAN A SMALL ENTITY</u>	
			<u>RATE</u>	<u>FEE</u>	<u>RATE</u>	<u>FEE</u>
Basic Fee			\$370	<b>\$370</b>	\$740	\$
Total Claims	31	11	\$9	<b>\$99</b>	\$18	\$
Indep Claims	3	0	\$42	\$	\$84	\$
[X] Multiple Dependent Claims			\$140	\$140	\$280	\$
Total Filing Fee:				<b>\$609</b>		\$

Other Fees

- [ ] Assignment Recording Fee  
     \_\_\_ Assignments @ \$40.00 each \$
- [ ] Petition for Expedited Foreign
- [ ] Filing License .....\$130.00 \$
- [ ] Other fees (list individually)  
     (none)

Total Other Fees: \$

**TOTAL FEES: \$609.00**

- [ ] A check including the amount of the above indicated TOTAL FEES is attached.
- [ ] Please charge Deposit account No. 18-0020 the amount of \$.
- [X] Filing fee is deferred. Please award a filing date and serial number based upon the enclosed specification (and any drawings), under 35 U.S.C. § 111.
- [ ] No fee is required.

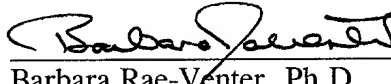
PATENT

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- ☒ Conditional Petition for Extension of Time: An extension of time is requested to provide for timely filing if an extension of time is still required after all papers filed with this transmittal have been considered.
- ☐ The Commissioner is hereby authorized to charge any underpayment of the following fees associated with this communication, including any necessary fees for extension of time, or credit any overpayment to Deposit Account No. 18-0020:
- ☐ Any filing fees under 37 C.F.R. § 1.16 for the presentation of extra claims.
- ☐ Any patent application processing fees under 37 C.F.R. § 1.17.
- ☒ A **duplicate** copy of this sheet is attached for accounting purposes.

Respectfully submitted,

Dated: January 18, 2012

  
Barbara Rae-Venter, Ph.D.  
Reg. No. 32,750

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P. O. Box 60039  
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BRV/dhw

PATENT

ATTORNEY DOCKET NO. ALBA.001.01US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: **Alex Battaglia, et al.**

Serial No.: Not Yet Assigned

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For: **Gum Resin as a Carrier for Topical  
Application of Pharmacologically Active Agents**

)  
) Examiner: Not Yet Assigned  
)  
) Art Unit: Not Yet Assigned  
)  
) **NONPUBLICATION REQUEST**  
) **UNDER 35 U.S.C. 122 (b)(2)(B)(i)**  
)

Assistant Commissioner for Patents  
Washington, D.C. 20231

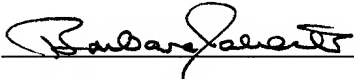
Sir:

Applicant certifies that the invention disclosed in the attached application has not and will not be the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing.

Applicant requests that the attached application not be published under 35 U.S.C. 122 (b)(2)(B)(i).

Respectfully submitted,

Date: January 18, 2002

  
Barbara Rae-Venter, Ph.D.  
Reg. No. 32,750

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(Signature) 

(Printed Name) D.H. WALKER